



# Gartner Enterprise Risk, Audit & Compliance Conference

8 - 9 September | Grapevine, TX

## Key Insights From Top Sessions

# Gartner Enterprise Risk, Audit & Compliance Conference

Grapevine, TX | September 8 - 9, 2025

[View Full Agenda](#)

## Missed the 2025 conference?

Visit our website to learn more about the key insights and take-aways from this unique gathering of marketing leaders.

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## Tracks at a Glance

Attendees participated in 70+ research-backed sessions designed to empower assurance leaders to navigate disruption and budget constraints by unlocking greater efficiency and effectiveness. Each track focused on adapting to emerging technologies, harnessing AI-driven productivity, and elevating customer experiences to drive growth and enhance cross-functional collaboration.

### Track A: Advancing Risk Technology and Analytics

The most progressive assurance functions use advanced analytics techniques and technologies to gain better insight into risks, control effectiveness, and drive efficiency in their monitoring and management processes. This track helped enterprise risk, audit and compliance executives discover the latest use cases, market analysis and proven approaches to accelerate and expand the application of advanced analytics and technology in their organizations.

### Track C: Transforming Functional Effectiveness

In the face of growing expectations for impact from business leaders, regulators and even their own teams, assurance executives must continue to transform their functions from the inside out — redefining and building new competencies, redesigning core processes, applying new tools and technology, and crafting more compelling visions of value delivery. Attendees of this track learned how to build, evolve and adapt successful models, tactics and strategies to drive continued functional transformation and more effective risk management across their organization.

### Track B: Managing Critical Risk Hotspots

Assurance executives must stay on top of an increasing number of emerging and evolving risks to align resources and coverage appropriately, create rightsized, flexible response plans, maintain credibility, and maximize value delivery to the organization. Attendees of this track gained fresh insights into key risk trends and the strategies that leading organizations rely on to track and respond to these new risks.

### Track D: Driving Risk Ownership

Leading organizations hold all leaders, not just risk and compliance professionals, accountable for effective risk management. Assurance leaders' guidance, tools and coordination approaches enable this risk ownership. This track helped enterprise risk, audit and compliance executives design more effective strategies for risk governance and reporting, leading to greater ownership across the organization.

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# Gartner Enterprise Risk, Audit & Compliance Conference: Risk Intelligence Realized

For years, intelligent risk functions promised to assess evolving risks, offer insights and enable quality decision making, yet this seemed elusive. Progressive enterprise risk, audit & compliance executives are bridging this gap by transforming processes, expanding tech use and deepening risk ownership.

The inaugural **Gartner Enterprise Risk, Audit & Compliance Conference** explored key topics for enterprise risk, audit and compliance executives to help them address their organization's mission-critical challenges. Discover top actions and recommended resources from high-rated, analyst-led sessions that can help you:

- Mature Your TPRM Program
- Optimize Regulatory Intelligence Efforts
- Assess and Manage AI Risks
- Establish Risk Reporting that Drives Action
- Integrate GenAI Across the Audit Life Cycle
- Engage Employees with Compliance Guidance
- What the Audit Committee Wants to Hear From Audit Leaders

**“Whether your focus is expanding risk coverage, accelerating the use of technology, transforming your team, or deepening risk ownership across the business, we want assurance leaders to channel their inspiration and exploration to turn risk intelligence into reality.”**



**Doug Eckstein**  
Conference Chair and  
Distinguished VP, Research  
Gartner

# Third-Party Risk Management: How to Mature Your TPRM Program



**Antonia Donaldson**  
Director Analyst

## Session Summary

The increased complexity of the third-party landscape creates business pressures and scrutiny of third-party risk management programs. Assurance leaders ranked TPRM as a top priority in 2025.

The session covered how to build and scale a TPRM program in five steps and included review of the TPRM lifecycle, the benefits of TPRM technology, and tips for successful third-party risk program implementation.



In 2025, Third-Party Risk Management (TPRM) is rated as a top legal priority by 63% and as a top compliance priority by 76% of surveyed leaders.

## Key actions for assurance leaders

- **Evaluate Program Maturity:** Conduct a maturity assessment to help legal, compliance, and business leaders collaboratively develop a strategic TPRM plan aligned with recognized frameworks and best practices.
- **Develop TPRM Governance:** Create a governance model with clear oversight and defined roles to ensure accountability and effective risk management.
- **Understand the TPRM Life Cycle:** Continuously evaluate third parties from onboarding through offboarding to address risks throughout the relationship.
- **Leverage and Implement Technology:** Invest in technology to enable timely risk identification and support a proactive, rather than reactive, approach.
- **Document and Defend Your TPRM Program:** Maintain thorough documentation of third-party risks and contractual obligations, such as service-level agreements and master service agreements, to support risk management and regulatory defense.

## How to get started:

- Understand the [third-party risk management trends](#) that are driving better risk outcomes (*free report*).
- Take this 3-minute assessment to [measure your TPRM maturity](#).
- Read [this document](#) to learn how to build and scale a TPRM Program.

# Regulatory Intelligence: Finding the Right Platform to Optimize Your Efforts



**Daniel Hebda**  
Sr Director, Advisory

## Session Summary

Compliance leaders face continued pressure to demonstrate the effectiveness of their regulatory intelligence programs amid an increasingly complex global environment. To manage these demands, leading assurance teams are shifting to centralized processes and implementing regulatory intelligence technology solutions.

This session covers how to select the right regulatory intelligence platform to optimize your efforts and enhance program effectiveness.



Only 28% of organizations maintain a database of laws and regulations, prompting assurance leaders to seek streamlined, cost-effective solutions for managing regulatory complexity.

## Key actions for assurance leaders

- **Prepare Regulatory Intelligence Processes and Data for Vendor Support:** Develop clear regulatory priorities to enhance data input and regulatory compliance, preparing your program for effective vendor support.
- **Prioritize the Vendor Capabilities and Design Qualities that Meet Your Needs:** Since there is not one technology solution that can effectively track and mitigate all regulatory obligations that an organization may be required to comply with, buyers should prioritize regulatory intelligence solutions that curate content aligned to their highest-priority regulatory risks.
- **Engage with Vendors Intentionally:** Developing a framework centered around top regulatory priorities and stakeholder needs, integrated with a comprehensive legal and compliance risk assessment, can ultimately establish a robust foundation for a defensible program that ensures adherence to regulatory obligations.

## How to get started:

- [Rethink your regulatory intelligence portfolio amid volatility \(Complimentary on-demand webinar\)](#).
- Learn how you can [prepare to be a better buyer of regulatory intelligence tools](#).

# Foundations of Assessing and Managing AI Risks



**Devanshu Mehrotra**  
Sr Director Analyst

## Session Summary

AI poses several significant and interconnected risks for large enterprises. Risk, audit and compliance leaders must help executives understand and address these risks by integrating them into their organization's risk management programs.

Participants gained insights into the drivers, impacts and consequences of AI-related risks and learned how to assess these risks effectively. Additionally, they learned how to design risk management processes that allow the enterprise to safely harness the power of AI.



About 79% of leaders have begun or will begin implementing GenAI in the next 12 months.

## Key actions for assurance leaders

- **Identify and Assess GenAI Risks:** The immediate challenge for leadership is not just governing future AI deployments, but discovering, assessing and governing the AI tools and practices already in use.
- **Manage Policies, Procedures and Training:** Set GenAI policies outlining acceptable models, use cases and inputs, and outlining guardrails such as output review and disclosure requirements
- **Mitigate, Monitor and Audit GenAI Risks:** Establish contractual safeguards on third-party use of IP, sensitive data the company manages, and indemnification related to the use of third-party AI tools.

## How to get started:

- Use this customizable toolkit to [educate board members about rapidly evolving AI landscape](#). *(Complimentary toolkit)*
- Read [this document](#) to identify relevant research to implement a robust AI risk management program and enable secure AI deployment within their organizations.

# Risk Reporting That Drives Action



**Elliott Long**  
Director, Advisory

## Session Summary

Risk reporting that drives decision making and action is a perennial top priority for heads of ERM. However, only 20% of them are confident in their current approach. Attendees learned about the characteristics of risk reporting that drive management action.



Only 6% of strategic initiative leaders consistently respond to risks before they have a material impact.

## Key actions for assurance leaders

- **Improve Ease of Consumption:** In order to draw executives' attention to high priorities and ensure the information is digestible, differentiate level of detail to match each audience's remit.
- **Improve Relevance of Information to Decision Makers' Priorities:** Make information more relevant to executives' decisions by providing explicit links between information and executives' priorities.
- **Align Risk Information:** Make reporting more compelling and convincing by partnering with other functions to reconcile information and eliminate discrepancies.

## How to get started:

- Learn how you can revamp [risk reporting to drive executive action \(free report\)](#).
- Learn how to [build risk reports](#) that enable the board to engage on complex topics.

# How Audit Teams Are Integrating GenAI Across the Audit Life Cycle



**Eren Fry**  
Sr Director, Advisory

## Session Summary

Audit leaders must guide their teams in understanding the benefits and challenges of integrating AI into audit processes.

This session explored how AI enhances efficiency, accuracy and insights throughout the audit life cycle. Attendees learned about practical applications of AI tools in planning, execution and reporting, and strategies for embedding AI into workflows. The session included real-world use cases of successful AI integration, illustrating how AI drives innovation.



42% of CAEs view embedding generative AI into audit workflows as an urgent priority, but just 28% are highly confident in their ability to deliver on it.

## Key actions for assurance leaders

- **Source Use Cases with Your Team:** Brainstorm use cases from the bottom up to build staff buy-in and prioritize the most relevant use cases for audit's workflows.
- **Prioritize Use Cases by Value and Feasibility:** To evaluate which use cases to advance, rank them according to value and feasibility.
- **Pilot Use Cases and Scale Usage:** After determining which GenAI models and/or use cases merit pursuing, it's time to experiment. Gather feedback from those who used the pilots and assess progress made.

## How to get started:

- Benchmark how your [audit peers are using GenAI](#) in their organizations (*free report*).
- Explore our [audit generative AI use-case library](#).

# Compliance 2030: The Future of Engaging Employees with Compliance Guidance



**Mara Lindokken**  
Director, Advisory

## Session Summary

Today, Compliance's touchpoints with employees fail to keep pace with expectations employees have for accessing and using compliance guidance. Tools, channels and processes that influence employee behavior and decision-making must support a rapidly evolving and overburdened workforce.

Attendees got insights from Gartner's research on how to design engaging, future-proof compliance touchpoints with employees



**42% of employees performing a gifts and entertainment-related task don't recall being trained on it.**

## Key actions for assurance leaders

- **Embed Compliance into Daily Work Routines:** Traditional, isolated training sessions often fail to engage employees. Embedding compliance into daily work routines improves both completion rates and learning outcomes.
- **Build Local Accountability Through Liaison Programs:** Building local accountability through liaison programs, as opposed to having one central accountability point for compliance, extends compliance's reach and tailors messaging for diverse employee groups.
- **Empower Employees Through an Employee-Centric Speak-Up Culture:** Current approaches often center compliance messaging on the company rather than the individual. Evolving to an employee-centric model empowers staff to report misconduct with confidence.

## How to get started:

- Discover [how to develop a compliance program](#) that adapts to regulatory changes, minimizes risks and drives business growth. *(free report)*.
- Guide to [building a future-ready compliance program](#).

# What the Audit Committee Wants to Hear From Audit Leaders



**Brian Andersen**  
Sr Director, Advisory

## Session Summary

Chief audit executives (CAEs) are one of the few functions that are expected to support the board in fulfilling its risk oversight responsibilities. Yet, they often face challenges, such as limited time with the audit committee and a lack of clear direction regarding committee expectations.

This session covered what the audit committee expects and wants to hear more of from CAEs.



**55% of boards are deemed ineffective at risk oversight.**

## Key actions for assurance leaders

- **Review** your existing audit committee materials and challenge yourself on how it is supporting the audit committee risk oversight.
- **Evaluate** what data you can start gathering and tracking from the audit activity to provide thematic views and trend analysis.
- **Discuss** prioritizing audit communication to support risk oversight with your audit committee chair.

## How to get started:

- Explore [3 key strategies to optimize your presentations to audit committees](#) (*free guide*).
- Learn how to [Prepare for Audit Committee Interactions](#).

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## Everything you need in a single solution to:

Accelerate technology investments • Increase functional productivity • Modernize risk management approaches

### Expert insights and interactions

- Tap into latest insights on functional improvement and personal effectiveness, as well as emerging topics like GenAI.
- Get direct access to our global team of research and advisory experts.

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- In-person and virtual events arm you with actionable plans.
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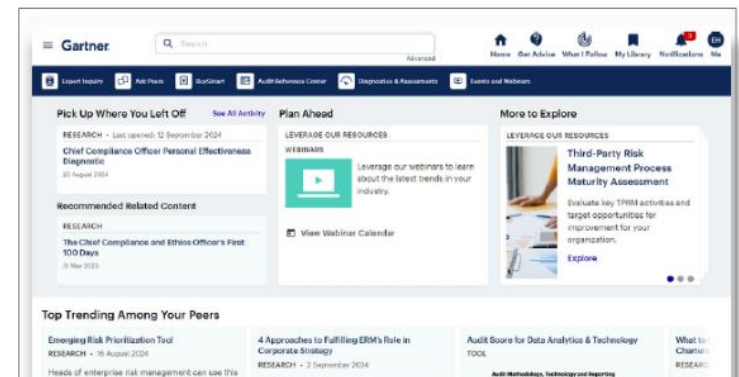
### Workflow and benchmark tools

Practical tools and benchmarks to turn strategy into action by helping accelerate key initiatives and drive better business outcomes, including:

- Functional maturity assessments
- Risk assessments
- Budget and efficiency benchmarking

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# Save the date for 2026!

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